

Porous granules by freeze granulation of Pickering emulsions stabilized with halloysite particles

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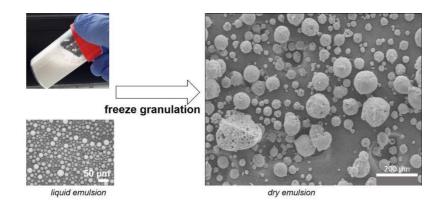
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1	Porous granules by freeze granulation of Pickering emulsions
2	stabilized with halloysite particles
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14 Graphical abstract



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- 16

17 Abstract

18 Pickering emulsions stabilized with a natural silicate mineral, halloysite, were dried by freeze granulation in order to prepare porous granules. Different formulations were studied, by varying 19 the pH of the suspension, the ionic strength and with the addition of organic binders (poly(vinyl 20 21 alcohol) (PVA) and poly(ethylene glycol) (PEG)). To get a better understanding and control on the process the objective was to correlate the formulation and the emulsion behavior to the 22 morphology and size of the granules. This study highlights the predominant role of electrostatic 23 interactions on the emulsions. Their stability is improved if the dispersion of solid particles in 24 the continuous phase is relatively limited, with medium zeta potential absolute values. Freeze 25 granulation of emulsions prepared at different ionic strength and pH led to similar granules, 26

with an internal porosity attributed to the low solid fraction. The addition of organic additives
PVA and PEG led to a better conservation of the structure, with fewer broken fragments and a
larger porosity, attributed to a higher cohesion of these emulsions during the spray freezing
and the lyophilisation steps.

31

32 Keywords

33 Pickering emulsion; freeze granulation; lyophilisation; halloysite; porosity; rheology

34

35 **1- Introduction**

36 Pickering emulsions are emulsions stabilized by solid particles adsorbing at the liquid/liquid interface [1]. They were first described by Ramsden [2] and Pickering [3]. They are employed 37 in many different fields, such as cosmetics, paints, food industry, or coal treatments. They 38 39 present a very long shelf life and a strong resistance to coalescence attributed to a nonreversible attachment of solid particles at the oil-water interface. This mechanism was 40 extensively supported by a theoretical approach and thermodynamic calculations, described 41 42 in many publications [4][5][6][7]. The type of emulsion is determined by the particle wettability 43 at the oil-water interface: hydrophilic particles lead to the dispersion of the oil phase in the 44 aqueous medium (O/W), whereas hydrophobic particles to the dispersion of the aqueous 45 phase (W/O) [8]. Surface properties are generally modified, either by organic species adsorption or chemical grafting, to enhance emulsion stability [9][10][11][12][13]. However 46 stabilization of emulsions without additives is a real challenge, especially for cosmetic and food 47 applications, where lowering the amount of chemicals is of great benefit for the health of the 48 consumers. The use of clay minerals such as montmorillonite [14], mica [15], hectorite and 49 bentonite [16], or laponite [17] was reported in the literature. The stabilization of Pickering 50 emulsions without surface modification is possible because their chemistry and the layer 51 structure allow them to have amphiphilic properties [18]. In that context, clays offer a great 52 opportunity for the development of surfactant-free emulsions. Moreover they are readily 53 available, cheap and natural resources. 54

In a previous study, we compared kaolin, halloysite and palygorskite as Pickering emulsion stabilizer [19]. All three phyllosilicates led to stable emulsions at high solid contents (15 wt.% equal to 5.0 vol.% in the aqueous phase) without any surface modification. They showed different rheological behaviors, halloysite leading to the highest cohesion of the emulsion, which was attributed to its higher specific surface area.

In the present study the aim was to prepare porous granules from Pickering emulsions of 60 halloysite via freeze granulation. This process consists of an atomization into small droplets of 61 a suspension or an emulsion through a spraying nozzle [20]. Droplets are sprayed into liquid 62 nitrogen under stirring and are instantly frozen. The resulting frozen granules are recovered 63 and subsequently freeze-dried under vacuum to sublimate the ice they contain and yield a dry 64 granulated powder. Compared to the spray-drying process [21][22], freeze granulation leads 65 to a better control of granule density and a higher homogeneity. Indeed, droplet freezing is a 66 67 fast process, and lyophilisation prevents shrinkage and deformations generally observed 68 during the drying step (such as the doughnut effect). This technology presents the great 69 advantage to preserve the structure and homogeneity of the particles in suspension [23]. It is suitable for the preparation of fine powders, re-dispersible formulations, nanomaterials, drug 70 delivery systems, etc... Organic compounds, pharmaceutical products or other heat sensitive 71 materials benefit from this low temperature and low pressure process. Technical ceramics 72 such as transparent ceramics [24][25], MOX fuels [26] or non-oxide ceramics [27][28][29] have 73 also been prepared with high performances. 74

75 Freeze granulation was chosen for its ability to retain the structure of the slurry. The aim was 76 to investigate if an O/W Pickering emulsion could lead to the formation of macroporous granules made of ceramic materials after elimination of the liquid phases. If there are many 77 papers published on processing routes based on Pickering emulsions, most of them deal with 78 79 organic materials. Macroporous ceramic materials are obtained by processing routes generally classified into replica, sacrificial template, and direct foaming methods [30]. However some 80 81 groups have reported on particle-stabilized emulsions as templates for the fabrication of 82 porous materials [10][31][32]. More recently additive manufacturing such as extrusion-based 3D printing also succeeded in the fabrication of hierarchical porous materials, leading to very 83 84 well-designed and complex geometries [33][34][35]. A large range of applications exist for such macroporous ceramic materials such as drug delivery systems, chemical containers, or 85 86 catalyst supports.

Therefore different formulations of emulsions were studied, by varying the pH of the suspension, the ionic strength and with the addition of organic binders (poly(vinyl alcohol) (PVA) and poly(ethylene glycol) (PEG)). Through this study we tried to get a better understanding and control on the process by making correlations between the formulation, the emulsion behavior and the morphology and size of the materials obtained by freeze granulation.

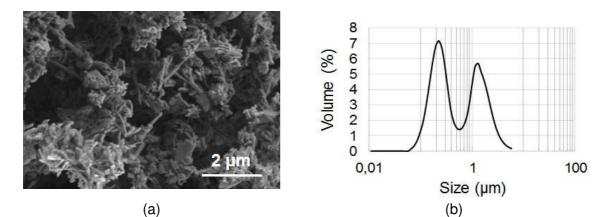
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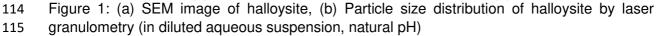
95 2- Experimental section

96 2.1 Raw materials

97 Halloysite powder is provided by Imerys Ceramics under the name Premium NZCC. This clay is a phyllosilicate with the formula $Al_2Si_2O_5(OH)_4 \cdot nH_2O$, n being comprised between 0 and 2. 98 Halloysite is a dioctahedral 1:1 clay mineral of the kaolin group and has a multilayer tubular 99 structure. This structure results from the wrapping of the 1:1 clay mineral layers, driven by a 100 mismatch in the oxygen-sharing tetrahedral and octahedral sheets in the 1:1 layer. Halloysite 101 is chemically similar to kaolin, but the unit layers are separated by a layer of water molecules. 102 This gives a remarkable surface chemistry of halloysite: the external surface of the tubes is 103 composed of Si-O-Si groups, whereas the internal lumen surface consists of a gibbsite-like 104 array of Al-OH groups [36][37][38]. This lead to different surface charges of the materials 105 depending on the pH. 106

Halloysite presents an elongated tubular morphology, with a width around 100 nm and a length
of 1-2 µm. The morphology of the particles is visible in the SEM image (Figure 1a), and Figure
1b shows the particle size distribution of halloysite measured by laser diffraction, which is
bimodal, corresponding to the two sizes (width and length) of particles, expected for platelet
like particles with such a large difference between thickness and length [39], as well as a small
agglomeration of these particles.





116

Halloysite density was taken at 2.8 g/cm³. Halloysite was dispersed into deionized water, or NaCl solutions at different concentrations (prepared from NaCl 99%, Aldrich). Dodecane $(C_{12}H_{26}, Sigma-Aldrich, density = 0.75 g/cm³)$ was used without further treatment as the oil phase.

- PVA (poly(vinyl alcohol), Mw = 25000g/mol) and PEG (poly(ethylene glycol), Mw = 300g/mol)
 from Aldrich were used respectively as binder and plasticizer to increase the cohesion of the
 final powder during the freeze granulation process.
- 124

125 **2.2 Emulsion preparation procedure**

Emulsions are obtained by mixing aqueous suspensions of halloysite with dodecane. In a typical formulation, halloysite particles were introduced into the water at the volume fraction 5.0 vol.%. This suspension was stirred magnetically at 500 rpm during two minutes, and dispersed using an ultrasonic pulsing method (3s On, 1s Off) during two minutes. Then dodecane was added at a ratio R corresponding to the volume ratio of dodecane to water of 0.44 (defined by a previous study [19]). A high-shear stirring was applied at 19000 rpm during two minutes with an Ultra-Turrax T25 homogenizer in order to form the emulsion.

To study the effect of the pH, NaOH (0.1M) solution was introduced into the suspension (natural pH = 3.4) controlled using a pH-meter.

- To study the effect of the ionic strength of the suspension, NaCl solutions at different known concentrations were used instead of water.
- 137 In order to increase the cohesion of the material during the freeze granulation processing step,
- a mixture of polymers, PVA and PEG, was also added at different concentrations. They were
- previously diluted in water, and introduced into the suspension to the desired concentration
- 140 prior to the emulsification step.
- 141

142 **2.3 Freeze granulation of halloysite stabilized emulsions**

The main objective of this study was to dry Pickering emulsions of halloysite by freeze granulation. This operation follows two stages: the first is spray freezing, and the second is freeze drying (or lyophilization).

Spray freezing consists of spraying a suspension (here an emulsion) with compressed air into 146 147 a large volume (around 1 liter) of liquid nitrogen, in order to form granules by an instantaneous freezing of the fluid droplets. The rapid freezing allows the conservation of the spherical shape 148 created by spraying through the nozzles. The freeze granulator (LS-2, PowderPro AB) is 149 equipped with a suspension flow regulating device provided with the apparatus. The atomizer 150 151 used was of the twin-fluid nozzle type. Both air and suspension inlets had inner diameters of 4 152 mm and the droplet spraying outlet had an inner diameter of 1 mm. The atomization gas used was air. Unless otherwise stated, the atomization conditions performed were: air relative 153 pressure of 0.2 bar and suspension flow rate of 33 mL/min (suspension pumped through a 154 155 peristaltic pump). The rotation speed of the magnetic stirrer in the liquid nitrogen was set at 350 rpm. Immediately after formation, frozen granules were freeze dried in a Christ Alpha 2–4 156

LD Plus freezedrier. Freeze drying aims at removing the liquid phase present in the frozen suspension or emulsion granules by sublimation. This procedure lasts about 20 hours to maintain the structure of the granules after removing the liquid, under a final vacuum of 10⁻³ mbar.

161

162 2.4 Characterization techniques

Zeta potential measurements were conducted on halloysite suspensions (5 vol.%) with an ESA analyser (AcoustoSizer II S flow through system, Colloidal Dynamics). The zeta potential was obtained from the ESA voltage data treated with the AcoustoSizer II operation software by using the O'Brien relationship. Zeta potential measurements were done varying the ionic strength by NaCl addition, varying pH with 1.0 mol/L sodium hydroxide addition, or with an addition of PVA and PEG as aqueous solutions at the concentration 10 wt.%, with the automated AcoustoSizer II pH titration system.

The stability, phase separation or creaming of emulsions was characterized after visualobservations.

Emulsion droplets were observed using an optical microscope Nikon Eclipse 501. A small amount of freshly prepared emulsion was placed on a microscope slide, and covered after ensuring that no air gap or bubbles were trapped between the sample and the cover slip.

Laser diffraction analysis was used to determine the droplet size distribution of emulsions
(Horiba LA-950), using the Fraunhofer mode. Emulsions were analysed in the liquid module,

177 whereas freeze dried granules were analysed in the dry module.

178 Emulsions were characterized using a stress-controlled rheometer ARG2 from TA Instruments.

179 The measuring geometry consisted of a 20 mm diameter upper plate and a Peltier lower plate.

180 The gap was set to 1 mm.

The viscous and elastic responses were investigated by dynamic oscillatory tests carried out at 20 °C. The principle of this measurement is to apply a sinusoidal strain and measure the corresponding stress. In this procedure the linear viscoelastic region can be detected. The dynamic response between strain (γ) and stress (τ) can be described as follows:

- 185 $\tau = G^* \gamma$
- 186 where G^* is the complex modulus consisting elastic (*G'*) and viscous (*G''*) components. 187 The relationships are shown below and $\tan \delta$ is the loss factor which equals to G''/G':

(1)

- $188 \qquad G' = G_0 \cos \delta \tag{2}$
- $189 \qquad G'' = G_0 \sin \delta \tag{3}$
- 190 The linear viscoelastic region (LVER) is determined by oscillatory strain sweep from 10^{-4} to 0.1
- 191 at a fixed frequency of 1 Hz. The critical elastic modulus (G'_C) and critical strain (γ_c) are obtained

from the flow onset point, and the onset point allows us to calculate the cohesive energy E_c
using the equation below:

194 $E_C = \frac{1}{2}G'_C\gamma_C^2 \tag{4}$

The cohesive energy corresponds to the elastic strength of the material, and thus could be considered as a measurement of the strength of the internal structure of the emulsion; the higher the cohesive energy, the more stable a system should be.

In order to characterize better the rheological behavior during the pumping operation, steady
state flow measurements were also performed. They consist of a series of creep experiments
with varying stress, where the equilibrium shear rate is obtained when the curve reaches a
linear regime. The stress was varied using the following cycle:

1. a pre-shear at the maximum shear stress (20 Pa),

- 203 2. a decreasing shear stress sweep,
- 3. an increasing shear stress sweep.

The morphology and size of materials obtained by freeze granulation were observed by Scanning Electron Microscopy (SEM, Quanta 450 FEG) under vacuum mode (HV: 2 keV, working distance: 9 mm, spot: 3.0).

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209 3- Results and discussion

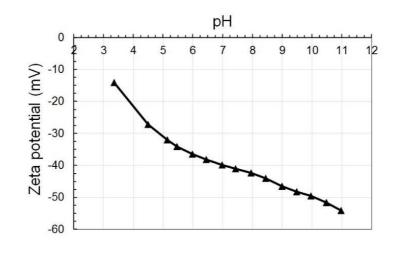
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3.1- Influence of pH on halloysite suspensions and emulsions

Zeta potential of an halloysite suspension (concentration 5.0 vol.%) was measured as a 212 function of the pH (Figure 2). Halloysite suspension in deionized water presents a natural pH 213 value of 3.4 corresponding to a negative zeta potential value of -14 mV. By adding NaOH, the 214 pH increases and the zeta potential decreases rapidly, to attain -50 mV at pH 10, ensuring a 215 216 better dispersion of particles, and thus a better stability of the suspension. The typical tubular morphology of halloysite is described with the Si-OH groups on the outer surface, whereas the 217 inner surfaces of the tubes present AI-OH groups [36][37][38]. Therefore the measurement 218 219 obtained from the Acoustosizer (which is an average zeta response) is more similar to a silica 220 material, because of its presence at the external surfaces. Moreover in the acidic pH range, 221 the inner and the outer surfaces present opposite charges, whereas at basic pH, both surfaces 222 are negative, leading to higher absolute values.

Emulsions were prepared with dodecane at a volume ratio R = 0.44. A stable O/W emulsion was obtained at the natural pH (Figure 3(a)). Optical microscopy shows that halloysite particles

are located at the O/W interface, but also in the continuous aqueous phase (Figure 3(b)). 225 Increasing the pH of the suspension impacted the stability of the emulsion, and creaming was 226 rapidly observed at pH > 7.0 (Figure 3(a)). This corresponds to the transition from positive to 227 neutral and negative surface charges of the alumina-like surfaces. It has been shown that most 228 oil phases present negative surface charges [40][41], therefore repulsive interactions between 229 halloysite particles and dodecane increase when pH increases, leading to the emulsion 230 231 breaking. Furthermore a higher zeta potential in absolute value corresponds to a better 232 dispersion of halloysite particles in the aqueous phase, which is not favorable for their migration 233 at the oil/water interface. To conclude, a high negative surface charge of halloysite particles is 234 not recommended to stabilize oil droplets in a Pickering emulsion, whereas a slightly 235 flocculated state is more favorable (pH < 6).

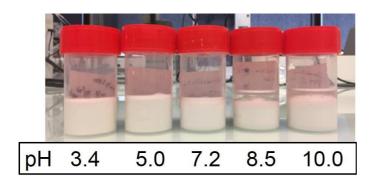


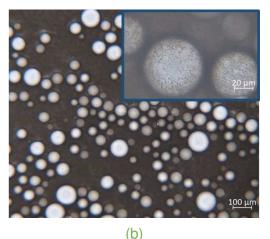
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Figure 2: Zeta potential of an halloysite suspension as a function of the pH (volume fraction of

halloysite 5.0 vol.% in deionized water, natural pH = 3.4)

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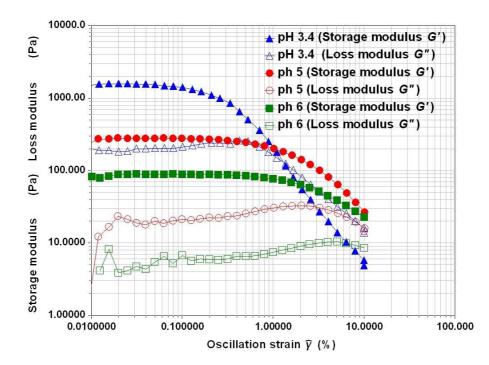
(a)

240 Figure 3: (a) Observations of the stability of Pickering emulsions prepared at different pH, after

1 week (volume fraction of halloysite 5.0 vol.% in deionized water, O/W ratio = 0.44 in volume),

242 (b) O/W emulsion at pH 3.4

Oscillatory measurements have been conducted on relatively stable emulsions, at acidic pH (from pH 3.4 to pH 6, Figure 4). The elastic (storage) modulus G' is always superior to the viscous one (loss modulus) G", corresponding to a solid-like behavior (Figure 5a). G' is the largest for the case of natural pH. The critical strain increases with pH, whereas the cohesion energy is similar regarding the large error bars (Figure 5b). Therefore the natural pH corresponds to the most favorable pH to prepare a Pickering emulsion with halloysite.



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251 Figure 4: Oscillatory results for halloysite emulsions prepared at different pH

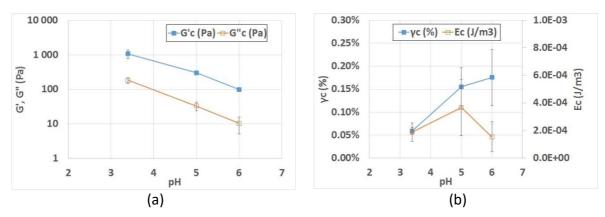


Figure 5: (a) critical storage modulus G'_c and critical loss modulus $G''_{c,}$ (b) critical strain γ_c , and

 $254 \qquad \mbox{cohesive energy E_c, of halloysite emulsions at different pH}$

- 255
- 256
- 257

258 **3.2- Influence of NaCl concentration on halloysite suspensions and emulsions**

Emulsions were prepared with NaCl at different concentrations to vary the ionic strength of 259 260 halloysite suspensions. The conductivity evolution was significant, from 0.1 mS/cm without 261 NaCl, to 1.3 mS/cm for [NaCl] = 0.01 M, and 9 mS/cm for [NaCl] = 0.1 M (Figure 6). However the stability of the emulsions was not influenced by NaCl concentration, and all emulsions were 262 stable during several weeks, with no sedimentation neither coalescence nor creaming 263 264 observed. From laser diffraction analysis (Figure 7), they all present a similar droplet size 265 around 80 µm. The rheological behavior is also very similar whatever the NaCl concentration (Figures 8 and 9). The analysis of the oscillatory measurements gives a critical storage 266 modulus G'_c comprised between 800 and 1000 Pa, and the critical loss modulus G"_c around 267 200 Pa. The critical deformation y_c is around 0.06%, which leads to a relatively low cohesive 268 energy around 0.15 mJ/m³. 269

270 To explain this insensitivity, zeta potential was measured on halloysite suspensions by varying NaCl concentrations at a constant pH of 3.4 (natural pH). Zeta potential was also surprisingly 271 272 guasi constant whatever NaCl concentration. It slightly decreased from -13 mV to -17 mV whereas the conductivity of the suspension increased drastically, from 0.1 to 9 mS/cm (Figure 273 6). In the DLVO theory, a higher conductivity usually leads to a compression of the double 274 275 layer of the particles, leading to lower absolute values of zeta potential, and loss of stability. 276 This is not observed here, with a low impact of NaCl concentration attributed to a low 277 modification of particle-particle interactions in the suspension. This can be explained if Na⁺ and Cl, which were assumed at first as non-potential determining ions, specifically adsorb on 278 halloysite surfaces [42]. This is possible for halloysite particles, which present a very specific 279 280 rolled plate morphology, with silica-like surface in the inner of the tubes, and alumina-like surface at the outer of the tubes [36][37][38], and therefore can adsorb both ions at acid pH. 281 282

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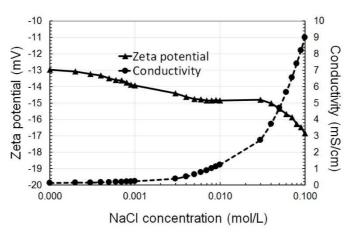


Figure 6: Evolution of zeta potential and conductivity of halloysite suspensions with NaCl concentrations varying from 0 to 0.1 M (volume fraction of halloysite 5.0 vol.%, natural pH = 3.4 - pH remains constant)

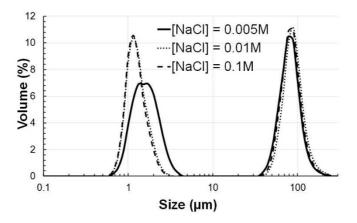
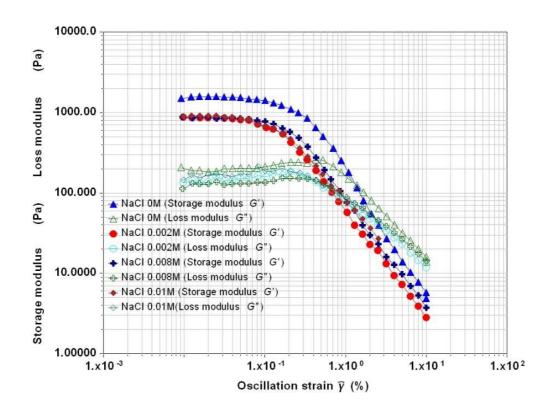




Figure 7: Laser diffraction analysis of halloysite emulsions at different NaCl concentrations and natural pH



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Figure 8: Oscillatory results G' and G'' for amplitude sweep at 1 Hz of halloysite emulsions at different NaCl concentration and natural pH

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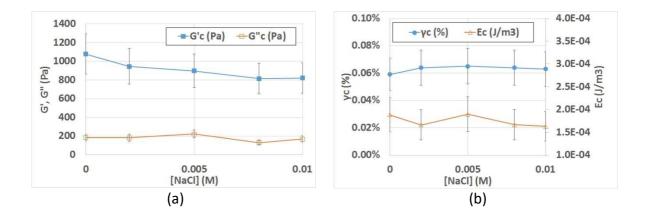


Figure 9: (a) critical storage modulus G'_c and critical loss modulus G''_c , (b) critical strain γ_c , and cohesive energy E_c , of halloysite emulsions at different NaCl concentration and natural pH

To conclude, these studies with different pH and NaCl concentrations are very informative as they highlight the predominant role of electrostatic interactions on the behavior of these emulsions. Their stability is improved if the dispersion of solid particles in the continuous phase is relatively limited, with medium zeta potential absolute values. Furthermore in this particular case, NaCl is not able to modify zeta potential and thus particle interactions, and emulsions present similar properties in terms of droplet size, stability, and rheology.

308

309 3.3- Influence of addition of binders (PVA and PEG) on halloysite suspensions and 310 emulsions

Organics are usually added in ceramic processes in order to improve the processability or the handling of green pieces[43]. PVA and PEG were chosen respectively as a binder and a plasticizer for increasing the granule cohesion during freeze granulation.

At first the influence of PVA and PEG was studied in halloysite suspensions. Interfacial 314 properties were investigated, because the lower the interfacial energy between two immiscible 315 phases, the better it is for emulsification. Table 1 shows that adding halloysite particles at 316 natural pH reduces drastically the interfacial energy compared to pure water, from 43 mN/m to 317 9 mN/m. This explains the stability of Pickering emulsions with halloysite, these particles alone 318 319 are able to stabilize oil droplets. When adding PEG, the interfacial energy remains as low as with halloysite only. PVA addition increases the interfacial energy, and thus addition of both 320 PVA and PEG leads to a similar value, around 15 mN/m. However this value remains low, and 321 322 thus emulsification should be possible with PVA and PVA+PEG.

Table 1: Oil vs aqueous suspension interfacial energy (at natural pH)

	Interfacial energy (mN/m)
Oil/Water	43 ± 4
Oil/halloysite suspension (5.0 vol.%)	9 ± 2
Oil/halloysite suspension (5.0 vol.%) + PEG 1 wt.%	7 ± 2
Oil/halloysite suspension (5.0 vol.%) + PVA 1 wt.%	15 ± 2
Oil/halloysite suspension (5.0 vol.%) + PVA 1 wt.%+ PEG 1 wt.%	15 ± 2

325

The zeta potential of halloysite suspensions was measured with PEG, PVA and PEG+PVA, at 326 two pH values: natural pH of 3.4 corresponding to a zeta potential of halloysite suspension 327 around -14 mV (Figure 10a), and at pH 7.5 corresponding to a higher zeta potential absolute 328 value of halloysite suspension around -43 mV (Figure 10b). By adding PEG of low molecular 329 weight, zeta potential is relatively unmodified for the two pH, it attains -10 mV at natural pH, 330 and -41 mV at pH 7.5 for 1.5% of PEG. On the contrary, PVA strongly modifies the zeta 331 potential of the suspension, which increases at both pH, with a stronger evolution when the 332 initial value of the zeta potential is lower (at pH 7.5, Figure 11b). The strongest increase of the 333 zeta potential happens at the lowest binder ratio, for 0.2 % of PVA, and then zeta potential 334 increases slightly to attain -18 mV at 1.5% of PVA. PVA addition leads to a rapid screening of 335 336 the surface charge of halloysite, i.e. by pushing the shear plane (where the zeta potential is 337 measured) further from the particles surface. When polymers are adsorbed onto the particle 338 surface, changes in the ion distribution in the diffuse double layer will affect the absolute zeta 339 potential [44][45]. This is similar to cementitious systems where it has been shown that the 340 adsorption of such polymeric layers give a significant steric contribution [46].

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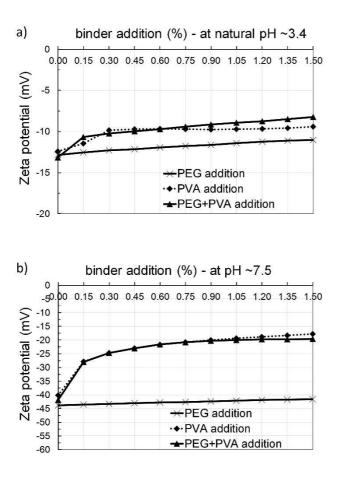


Figure 10: Effect of binder addition PVA, PEG and PVA+PEG on zeta potential of an halloysite suspension (concentration 5.0 vol.% in deionized water), a) at natural pH 3.4, and b) at pH 7.5

Then halloysite emulsions were prepared from a halloysite suspension at natural pH with 349 different concentrations of PVA and PEG. These emulsions are composed of smaller droplets, 350 351 between 10 and 30 µm in diameter whereas the mean droplet size was around 70 µm without 352 additive as shown by laser diffraction analysis (Figure 11) and optical microscopy (Figure 12). 353 The number of droplets is also more important, leading to less particles in the continuous 354 phase. These polymers present an amphiphilic character which allow them to adsorb to 355 halloysite surfaces via hydrogen bonding, and to increase as the same time the hydrophobicity of mineral particles thanks to their carbon chain, leading to a higher emulsification rate. 356

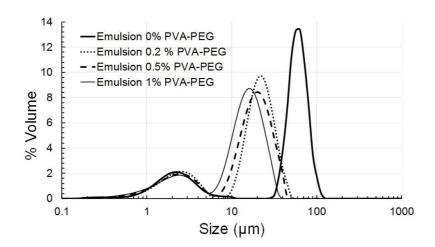
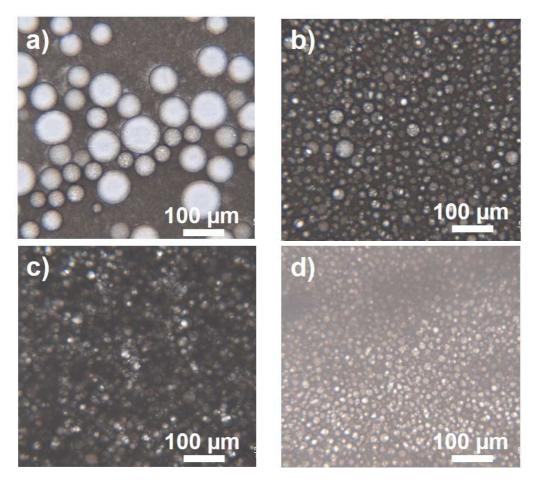


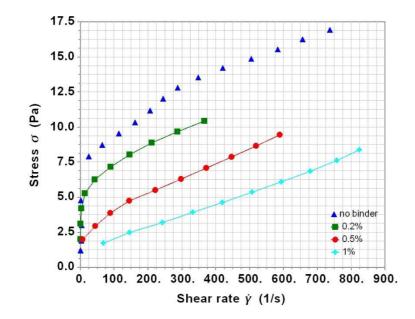
Figure 11: Laser diffraction analysis of halloysite emulsions from halloysite suspensions at
natural pH with 0 - 0.2% - 0.5% - 1% PVA and PEG

361



- 362 Figure 12: Optical microscopy of halloysite emulsions at natural pH with a) 0% of PVA and
- 363 PEG, b) 0,2% of PVA and PEG, c) 0,5% of PVA and PEG, d) 1% of PVA and PEG

Figure 13 shows steady state flow rheological experiments of halloysite emulsions with 365 different PVA+PEG concentrations. The addition of these organic binders decreases emulsion 366 viscosity. The emulsion without dispersant clearly presents a yield stress and a shear thinning 367 behavior, whereas the addition of binders decreases the yield stress and leads to a more 368 Newtonian flow. Besides, oscillatory measurements (Figure 14) show a very strong decrease 369 370 of the storage modulus G' by almost three orders of magnitude, as well as the loss modulus G", when adding 1 wt.% of PVA and PEG. Even if G' still remains higher than G", 371 372 corresponding to a solid-like behavior, the emulsions prepared with binders are more fluid. At 373 the same time the critical strain increases with the concentration of binders, therefore these 374 emulsions support higher shear stresses. At the end they all present a similar value of their cohesive energy around 0.5 mJ/m³ considering the large error bars. 375



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Figure 13: Steady state measurements for halloysite emulsions prepared at natural pH with

378 different concentrations of PVA and PEG

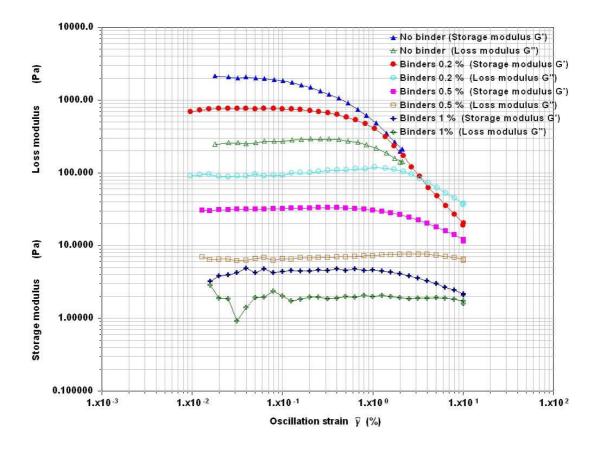


Figure 14: Oscillatory measurements for halloysite emulsions prepared at natural pH with different concentrations of PVA and PEG

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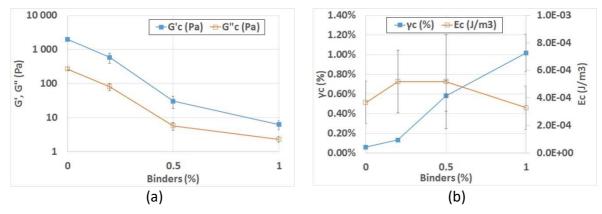


Figure 15: (a) critical storage modulus G'_c and critical loss modulus $G''_{c,}$ (b) critical strain γ_c , and cohesive energy E_c , of halloysite emulsions at natural pH with different concentrations of PVA and PEG

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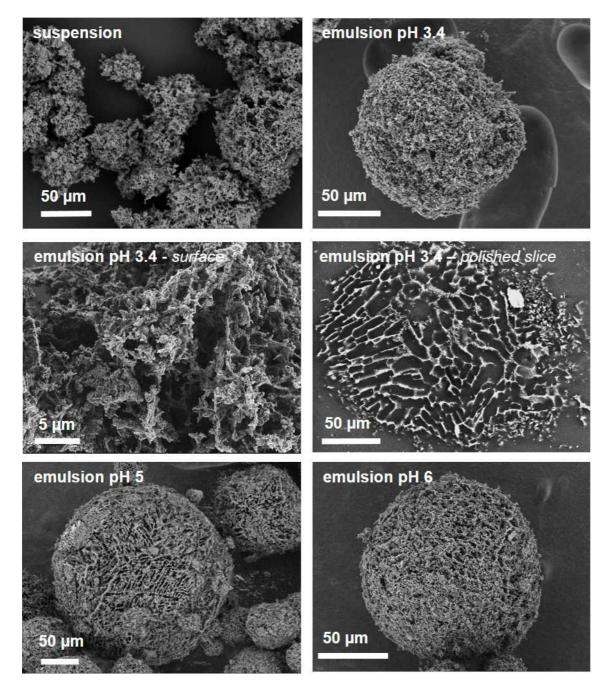
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389 **3.4-** Freeze granulation of emulsions and characterization of halloysite granules

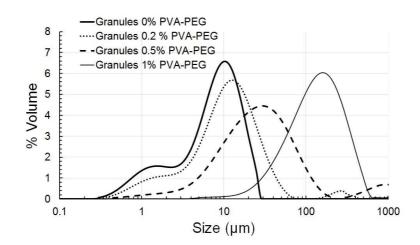
390 The microstructure of powders obtained from freeze granulation of different Pickering 391 emulsions stabilized with halloysite particles is studied below.

392 Besides their very different rheological behaviors, freeze granulation of emulsions prepared at 393 different pH (pH 3.4, pH 5 and pH 6) led to granules with similar morphologies: some granules 394 present a spherical shape as expected from the freeze granulation process, with diameters are comprised between 50 and 300 µm (Figure 16). Their cohesion is not good, as shown by 395 the size distribution obtained on the dry granules. Indeed the size distribution is bimodal with 396 397 a peak around 1 µm corresponding to halloysite particles, and another mode around 10 µm corresponding to aggregated particles and broken granules. They are very porous as shown 398 399 by a closer observation of the surface, broken granules and also from an observation of the interior of granules, obtained from embedded granules in a resin after being cut and polished 400 (Figure 16). These pictures exhibit a typical arrangement of halloysite particles, which form 401 402 layers, certainly due to the fast freezing in liquid nitrogen, and the lyophilisation step. By 403 comparing the microstructure of granules obtained from these different emulsions and from a 404 halloysite suspension, there is no major difference: similar shape, diameter and porosity were obtained. Therefore we could conclude that Pickering emulsions were certainly broken during 405 406 the spraying step. The dispersion of oil droplets was not retained during the process, and the 407 porosity is mainly due to the relatively low solid fraction used for this process.

Freeze granulation of emulsions prepared at pH 3.4 with different concentrations of binders 408 PVA + PEG led to the granules observed by SEM in Figure 18. All granules present spherical 409 shape. The size distribution measured on dry granules increases with the concentration of PVA 410 and PEG (Figure 17). The mode around 1 µm corresponding to halloysite particles has 411 disappeared from 0.5% of binder, which corresponds to a higher cohesion of the granules. 412 With the lowest binder concentrations (0.2 and 0.5 %), the surface morphology is close to what 413 was observed without additive in Figure 16, i.e. a porous structure with layers of halloysite 414 415 particles along freezing directions. However when increasing the binder concentration to 1%, the surface of granules looks smoother and the porosity is more homogeneously distributed. 416 Contrary to emulsions without binders, typical columnar morphologies due to water elimination 417 418 during the sublimation step are not observed. Inside granules some cavities are obtained which 419 are attributed to the presence of oil droplets in the formulation. Therefore binder addition is 420 necessary to retain the structure and give enough cohesion. This can be related to the lower 421 viscosity of these emulsions, as well as their higher critical strain, which allow the conservation 422 of their internal structure during the spraying step.

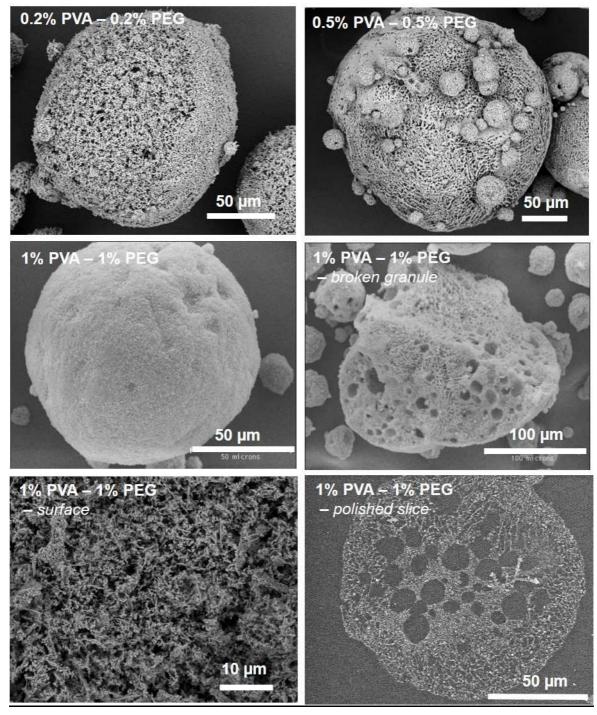


- 428 Figure 16: SEM observations of powders obtained by freeze granulation from halloysite
- 429 suspension and emulsions without binder



431 Figure 17: Laser diffraction analysis of granules obtained by freeze granulation of halloysite

emulsions at natural pH with 0 - 0.2% - 0.5% - 1% PVA and PEG





434 Figure 18: SEM observations of powders obtained by freeze granulation from halloysite

435 emulsions with different concentrations of PVA and PEG

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440 **4- Conclusion**

Freeze granulation was applied to Pickering emulsions to prepare porous granules. Halloysite 441 442 was chosen on the basis of a former study which has shown halloysite can give stable Pickering emulsions at a relatively high solid fraction, with an interesting rheological behavior 443 and a high cohesion. From a fundamental approach, one of the main interesting result from 444 this study is the exhibition of the strong influence of electrostatic interactions on emulsion 445 stability, varying the pH and NaCl concentration. In classical Pickering systems hydrophobic 446 effects have to be considered, which is not the case with a stabilization of O/W emulsions by 447 clays only. The addition of organic additives led also to stable emulsions, and more importantly 448 to freeze-dried granules which preserved the emulsion shape. By studying their rheological 449 behavior, these emulsions showed the lowest viscosity, and the highest critical strain. 450 Therefore they were able to support higher shear stresses, necessary during the spraying step. 451 Therefore Pickering emulsions stabilized with clays such as halloysite present very good 452 performances. These materials are also readily available, cheap and natural resources. They 453 offer a great opportunity for the development of surfactant-free emulsions that can be used in 454 455 large markets such as cosmetics and food products. Moreover by freeze granulation of Pickering emulsions, porous halloysite granules were obtained, which have a high potential for 456 the encapsulation of organic substances, or as catalyst supports. 457

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