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VASCULAR ULTRASOUND FOR CARDIOVASCULAR RISK STRATIFICATION IN ASYMPTOMATIC PATIENTS WITH TYPE-2 DIABETES.

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ABSTRACT

- 2 Aims- To identify new independent vascular markers to predict cardiovascular events
- 3 in patients with type-2 diabetes (T2D), and their incremental value compared to the
- 4 Swedish National Diabetes Register (NDR) risk score.
- 5 Methods- A retrospective cohort study was conducted on 1332 asymptomatic
- 6 patients with T2D, free from prior CV event, assessed for a cardiovascular work-up,
- 7 including Duplex ultrasonography to detect plaque on carotid and femoral arteries.
- 8 The extent of atherosclerosis was rated as atherosclerosis burden score (ABS).
- 9 Patients were followed up to 5 years and the occurrence of cardiovascular events
- 10 recorded.
- 11 Results- A total of 82 patients (6.2%) experienced a cardiovascular event, including
- 12 34 (2.6%) myocardial infarction, 18 (1.4%) cardiac revascularisation and 17 (1.3%)
- stroke. The independent determinants of these events were male sex (HR = 1.81
- 14 [1.13 2.88], p=0.013) and ABS ≥2 (HR = 1.98 [1.21–3.25], p=0.007). The NDR risk
- score performed poorly to predict cardiovascular events (area under the curve = 0.56
- 16 [0.49–0.63], p=0.11), whereas screening for atherosclerotic plaques provided
- 17 significant incremental prognostic value over the NDR score (model χ 2 increase:
- 18 +231%, p=0.002).
- 19 Conclusion- Duplex ultrasonography to screen for atherosclerotic plaques improve
- the estimation of cardiovascular prognosis on top of clinical data and could be
- 21 routinely used to improve cardiovascular risk stratification.
- 22 **Key words:** Type-2 diabetes; cardiovascular diseases; primary prevention;
- 23 atherosclerosis burden score, ultrasound, plaque, carotid, femoral.

24 **1. INTRODUCTION**

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In 2017, 425 million individuals worldwide had diabetes, including 58 million in Europe [1] and most of those patients have type-2 diabetes (T2D)[2]. Type-2 diabetes and cardiovascular diseases (CVDs) develop alongside. Over half of deaths and the majority of morbidity cases in people with T2D are related to CVDs. Thus, the guidelines on diabetes, pre-diabetes, and cardiovascular diseases developed by the European Society of Cardiology (ESC) request physicians to jointly manage these conditions [3]. According to the recent ESC guidelines [3], the choice of antidiabetic therapy strategy depends on the patient's cardiovascular risk. Several risk scores such as the Swedish National Diabetes Register (NDR) risk score have been specifically developed for patients with T2D [4,5]. ESC guidelines classify patients with subclinical atherosclerosis as at very-high risk of CVDs. Several markers issued from peripheral vascular or coronary imaging are proposed to identify subclinical atherosclerosis, but with low level of recommendation and evidence [3]. It is suggested that atherosclerosis burden score (ABS), i.e. the sum of the plaques in carotid and femoral arterial bifurcations, is better than common carotid intima-media thickness, carotid mean/maximal thickness, and carotid/femoral plague scores for the detection of presence and extension of coronary artery disease in non-diabetic populations [6]. Vascular ultrasound is a non-invasive and harmless technique to detect subclinical atherosclerosis, but it's incremental value on top of regular risk scores for patients with T2D has been poorly assessed [6,7]. In this study, we aimed at identifying independent vascular markers to predict cardiovascular events, and assessing their incremental prognostic value over the clinical NDR score in patients with T2D.

2. METHODS

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2.1. STUDY DESIGN

We retrospectively analysed the data of patients with T2D referred to our centre from January 2007 to December 2016 for cardiovascular work-up. This was an open cohort with consecutive recruitment of patients in primary prevention, i.e. free of any clinical atherosclerotic disease. Patients with a history of CVD (i.e. with clinical history of coronary, cerebrovascular or peripheral artery disease) and those with cardiovascular symptoms during assessment were excluded. A vascular nurse collected information on sociodemographic characteristics, risk factors, anthropometrics and current medication of each patient using standardized questionnaires and checked with the patient's record. The most recent biological tests results were also collected from the electronic database of the hospital. This was followed by a standard 12-lead electrocardiogram and 4-limbs blood pressure measurements using conventional Doppler method, to determine the ankle-brachial index (ABI) [8]. Patients were then assessed by Duplex ultrasound for atherosclerotic plaques detection. Plagues were defined as intimal calcified lesions >1 mm and/or >50% increased thickness compared to adjacent intimal wall. All echographies were performed using a Philipps[®] IE33 ultrasound machine (Philipps[®], Eindhoven, The Netherlands), using a 8-12 MHz linear vascular ultrasound probe. ABS was assessed, ranging from 0 to 4 according to the number of location with plagues at the level of carotid and femoral bifurcations. Distal flows at the ankle arteries levels were also recorded. Following this initial work-up, the cardiovascular specialist was

free to decide for any additional cardiovascular imaging tests or treatments if

- 73 necessary. (See Appendix A for the variables included in the study with their
- 74 description)
- A 5-year follow-up period was considered, with last evaluation in June 30th, 2019.
- The primary source of follow-up data were the patients' medical records. In absence
- of any information, general practitioners were contacted to collect vital status and the
- occurrence of any cardiovascular event including:
- 79 Cardiovascular death
- 80 Ischaemic or haemorrhagic stroke and transient ischaemic accident
- 81 Acute coronary syndromes
- 82 Cardiac revascularisation: including percutaneous coronary intervention and
- coronary artery bypass grafting
- The primary outcome was composite of cardiovascular death and non-fatal events
- 85 as afore mentioned. This outcome was consistent with those from Swedish NDR
- score proposed to assess the 5-year cardiovascular risk in patients with T2D (13).
- Our dataset had enough variables and information available to compute this score
- for 76% of the study participants. The risk score equation used to derived the NDR
- 89 score was retrieved from previous publication [9] (see Appendix B). Deaths from
- 90 non-cardiovascular causes, lost to follow-up and follow-up durations under 5 years
- 91 were recorded and analysed as censored data.

2.2. STATISTICS

- 93 Characteristics of our study population were described using means ± standard
- 94 deviation and frequencies (percentages) for qualitative variables. Bivariate analyses
- 95 were performed with the Chi² or Fisher exact tests where applicable for categorical
- variables, whereas *t* tests were used for continuous ones.

Calibration and discrimination characteristics were estimated to evaluate the ability of score to predict cardiovascular events in our population. We computed the difference between the rate of events predicted by the score and the percentage of events observed during the follow-up. Sensitivity, specificity, and receiver operating characteristic (ROC) curve were obtained and area under the curve (AUC) evaluated. We considered patients at low, intermediate and high risk of CVD events those with a risk score respectively < 10%, 10-19% and ≥ 20%.

Independent markers of cardiovascular events were assessed using Cox proportion hazard (PH) models. We plotted log-minus-log survival curves and visually inspected the proportionality of the hazard. Univariate models were performed for each independent variable. Subsequent multivariate analysis with backward stepwise models were used incorporating variables with a univariate p value <0.20. However, selection of variables was carefully performed to avoid collinearity. In the final model, the age variable was forced with the variables of the last step.

A final Cox PH model including NDR risk score and independent variables identified was performed to assess incremental value in term of cardiovascular events prediction. Improvement was quantified using the models' χ^2 value.

Statistical significance was set at p value <0.05 for all analyses.

2.3. Ethical considerations

This is an observational study on a cohort of patients managed according to the standards of care at the moment of their management. According to French law on that period, no ethical committee authorization was required.

3. **RESULTS**

From 2007 to 2016, 1,873 patients with diabetes attended cardiovascular screening and prevention consultations for the first time. Among them 1,332 (71%) asymptomatic T2D patients in primary prevention were considered for our study as all variables for calculation of the NDR score were available. The flowchart of patients' selection is presented in Appendix C.

3.1. GENERAL CHARACTERISTICS

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Baseline characteristics of the population and results of vascular explorations and further cardiac ischemic tests are given in Table 1. Overall, mean age in the study population was 59.5±10.7 years and 658 patients (49.4%) were male. Based on clinical, ECG and vascular imaging data, 470 (35.3%) patients received a prescription for an ischemic stress test at the end of the visit, with 58 (14.8%) positive results. Those patients were secondarily referred for coronary angiography. The outcome of the population was relatively good (92.1 ± 0.9 % of cardiovascular event-free survival at 5 years). Over an average follow-up duration of 3.7±1.7 years, the primary composite outcome occurred in 82 (6.2%) patients and mean time-toevent duration was 2±1.5 years. During the overall follow-up period, the occurrence of the different cardiovascular events in the population was as follows: myocardial infarction 34 cases (2.6%), coronary revascularisation 18 cases (1.4%), stroke/ transient ischemic attack 17 cases (1.3%), unstable angina, 8 cases (0.6%) and cardiovascular death 5 cases (0.4%). Patients with cardiovascular events were more frequently male (p=0.003), with smoking history (p=0.048), and with higher triglycerides levels (p=0.004) (Table 1).

3.2. SWEDISH NDR RISK SCORE ASSESSMENT

The average estimated 5-year risk of any cardiovascular event using the NDR risk score was $16.8 \pm 12.5\%$. Figure 1 displays calibration and discrimination of NDR risk score. Calibration analysis (A) showed that the score overestimated the risk of events, with a difference between predicted and observed percentages of events of 1%, 7% and 24% in low-, intermediate- and high-risk groups, respectively. The ROC curve displayed a non-significant area under the curve of 0.561 [0.489 - 0.633], p=0.11 (B). The most accurate risk cut-off value was identified at 15% but was associated with limited sensitivity and specificity (52.4% and 55.5% respectively) (C).

3.3. INDEPENDENT MARKERS OF CARDIOVASCULAR EVENTS.

The cox regression models are presented in Table 2. Variables identified as independent markers of cardiovascular events were male sex and an ABS \geq 2. Figure 2 shows the outcomes of the patients according to sex and ABS score.

Cox proportional-hazard models were used to evaluate the prediction of cardiovascular events by the NDR risk score when used alone or associated to the atheroma plaque screening. Figure 3 displays the χ^2 of those models that were all significant (p<0.01) and suggests that considering the presence of atheroma plaques is significant to predict cardiovascular events in T2D patients. In fact, it improved the NDR prediction model by 231% (p=0.002).

4. DISCUSSION

Our study reveals that in patients with T2D in primary prevention and managed with a dedicated cardiovascular work up: (1) the outcome of the population is relatively good, (2) a risk score merely based on clinical data has poor ability to predict CV outcomes, (3) atherosclerosis burden (i.e. carotid and femoral atherosclerosis) is a strong determinant of cardiovascular events, and (4) it provides incremental prognostic value over a clinical score (here the Swedish NDR score). The 5-year occurrence of cardiovascular events is 6.2% in the present series and is lower than the rates reported in a previous study from our country. Indeed, Sosner et al. reported a rate of 19.3% for major adverse cardiovascular events over a 65 month follow-up period [10]. This marked difference may be in part explained by difference in inclusion/non-inclusion criteria between that study and ours. Of note, they included patients who already had clinical history of CVD, and excluded those with a T2D history of less than 2 years. However, patients with previous CVD are already at very high risk and should benefit from optimal preventive measures. In this regard, we focused on patients free of disease in order to assess the interest of screening for subclinical atherosclerotic disease. In addition, exclusion of patients with T2D history of less than 2 years could be seen as arbitrary and this disease is known to be often already present for long before being diagnosed [3]. Atheroma plaques were present in more than half (55.7%) of our study population with carotid plagues present in 42.2% and femoral plagues in 43.4% of patients; almost one out of 4 patients (28.7%) had both carotid and femoral plaques. A study by De Kreutzenberg et al., in the T2D population identified very high rates of carotid plagues (81.8%) and demonstrated a strong relationship between the presence of plague and the occurrence of major adverse cardiovascular events. Furthermore, they found a graded relationship between increased plaque calcification and reduced event-free survival [11]. Shore et al. also demonstrated that in patients with T2D and no manifest CVD, the presence and severity of carotid plaque were the most significant risk factor of further cardiovascular events [12].

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It is generally accepted that the usual risk scores (e.g. the European SCORE) are not well adapted for the diabetic population, and several attempts have been made to propose specific scores for this population [9,13-15]. A study by Leeuw et al. evaluated cardiovascular risk scores in the T2D population. They found that some models are designed for the prediction of cardiovascular diseases, whereas others are specifically made to predict coronary heart diseases. Additionally, the target time of risk evaluation varies; most models predict a 5-year risk, whereas few predict a 10-year risk or a 4-year risk [5]. Some scores were designed decades ago, so do not take into account recent advances in risk management [5] and require updating. We selected a posteriori the Swedish NDR score as we had all the variables needed to estimate the risk in most patients of our database. Although the Swedish NDR score presented excellent calibration and discrimination characteristics within the original population, those indicators appeared to be quite poor in our study with an overestimation of the patients' risk. These results are consistent with those of previous studies found in the literature, where generally, external validation of scores in diabetic patients presented deceiving results [5,16,17]. This stems mainly from the differences in the designs of derivation and validation studies, inclusion criteria, methods of variables definition / collection and, definition / collection of the outcomes, leading to the use of proxies, which, despite sensitivity analyses and approvals, are not reliable.

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ABS improved the prognostic prediction on top of the NDR score. Even though evidence in the literature has demonstrated the importance of subclinical atherosclerosis in patients' risk management as well as in the prediction of cardiovascular outcomes, no study had evaluated their benefit over the classical risk

assessment tools yet. Moreover, we did not find any study taking into consideration that factor in their prediction models or in a final risk equation. Identifying markers of cardiovascular events in T2D population is of great importance in clinical practice. It offers practitioners simple and accessible features to estimate for better management of their patients. The ABS derives from vascular ultrasonography, a non-invasive and painless test. It is cheap, easy and rapid enough to be performed in routine as compared to non-contrast-enhanced computed tomography that is a radiant and heavy investigation to measure coronary artery calcification. In fact, in the Progression of Early Subclinical Atherosclerosis study (PESA) study, Fernandez-Friera et al., found that among study participants with no coronary artery calcification, nearly 60% had plaques at other vascular sites [18]. Additionally, a recent study by Katakami et al. on 3,263 participants recommended to add carotid ultrasonography measures to conventional risk factors while evaluating cardiovascular risk [19]. Hence, the identification of plaques at the carotid and/or femoral level enables to identify a subgroup of diabetic patients at higher risk than initially supposed, with need for intensified therapies as suggested recently by the ESC guidelines [3]. Our study has some limitations. First, we only studied one risk score, i.e. the NDR risk score, due to the availability of all variables for its calculation. However, the aim of our study was not a validation or comparison of different risk scores, but rather, to define the incremental value of plaque identification on top of a clinical risk score. Further investigations may be needed to develop and validate new risk stratification strategies considering plague screening. Another limitation is inherent to the in-clinic nature of the study as the physicians were free to adjust treatments and decide coronary screening strategies following the assessment, and we cannot rule out the

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possibility that the presence of carotid/femoral plaques would have led to intensified screening strategies and preventive measures. If so, our results are rather conservative. We did not find ABI as a predictive marker of cardiovascular events in our study, which contrasts with other reports [20,21]. While the ABI is recognized as valid predictive marker of cardiovascular events in the general population [8], its poorer sensitivity and specificity among patients with diabetes may be explained by a poorer ability of ABI to identify atherosclerosis[22].

5. CONCLUSION

Our study shows that carotid and femoral plaques identified by vascular ultrasound have an incremental predictive value to identify patients with T2D at higher risk of cardiovascular events. Whereas Swedish NDR score depicted limited prognosis performance in our series, the ABS score improves risk stratification. Consequently, screening for subclinical atherosclerosis with carotid/femoral ultrasound imaging and ABS score could be implemented in the routine management of T2D patients in primary prevention. Randomized trials are necessary to compare different preventive strategies after the identification of a high burden of atherosclerosis in these patients.

Availability of data and materials

Datasets analysed during the current study are available from the corresponding author upon request.

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Declaration of interest

- 262 AKM none
- 263 JM none
- 264 LJ none
- 265 KM none
- 266 MAO none
- 267 SG none
- 268 MPT none
- 269 PL none
- 270 ID none
- VA Amgen, Bayer, BMS/Pfizer alliance, Boehringer Ingelheim.

272 Authors' contributions

- 273 VA and JM designed and coordinated the study. SG, MPT, ID, PL and VA conducted
- 274 patients' consultations and examinations. AKM, LJ, KM and MAO collected data.
- 275 AKM and JM performed statistical analyses and drafted the manuscript. All the
- authors revised and have approved the final version of the manuscript.

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Table 1: Baseline characteristics of the study population and vascular explorationsfindings.

Variables	Whole	Whole No CVD event CVD events		p value	
	cohort	(n=1,250,	(n=82, 6.2%)		
	(n=1,332)	93.8%)			
Demographics and clinical					
data					
Age, years	59.5±10.7	59.4±10.7	61.3±10.5	0.11	
Male sex, n (%)	658 (49.4)	604 (48.3)	54 (65.9)	0.003	
BMI, kg/m ²	32.4±7.4	32.5±7.6	31.2±5.3	0.14	
SBP, mmHg	135±18	134±18	136±18	0.51	
DBP, mmHg	74±10	74±10	73±9	0.31	
Pulse pressure, mmHg	61±14	61±14	65±15	0.06	
Atrial fibrillation, n (%)	32 (2.4)	28 (2.2)	4 (4.9)	0.13	
Risk factors					
Diabetes duration, years	9.1±8.5	9.0±8.4	10.1±9.2	0.30	
Smoking status, n (%)					
Non-smokers	629 (47.7)	601 (48.5)	28 (34.6)		
Current smokers	271 (20.5)	249 (20.1)	22 (27.2)	0.048	
Past smokers	420 (31.8)	389 (31.4)	31 (38.3)		
Treated hypertension, n (%)	829 (62.5)	773 (62.1)	56 (69.1)	0.24	
Diabetic retinopathy, n (%)	86 (12.6)	80 (12.5)	6 (13.6)	0.83	
Family history of CVD, n (%)	319 (25.6)	301 (25.7)	18 (22.8)	0.56	
Biology					
HbA1c, n (%)					
< 6.5	225 (20.2)	213 (20.1)	12 (22.2)		
6.5 - 8.9	611 (54.8)	585 (55.2)	26 (48.1)	0.58	
≥ 9.0	278 (25.0)	262 (24.7)	16 (29.6)		
e-GFR, mg/L/1.73m ²	107±128	109±135	91±28	0.30	
Total cholesterol, mg/L	1.83±0.45	1.83±0.45	1.94±0.47	0.07	
HDL cholesterol, mg/L	0.46±0.14	0.46±0.14	0.45±0.20	0.54	
LDL cholesterol, mg/L	1.03±0.36	1.03±0.36	1.11±0.38	0.10	
Triglycerides, mg/L	1.73±1.07	1.71±1.05	2.11±1.37	0.004	

Treatments				
Lipid lowering drugs, n (%)	850 (66.3)	792 (65.8)	58 (72.5)	0.22
Antihypertensive drugs, n (%)	876 (68.3)	818 (68.0)	58 (72.5)	0.40
Antithrombotic agents, n (%)	329 (25.6)	300 (24.9)	29 (36.3)	0.03
Vascular explorations				
Ankle brachial index, n (%)				
≤ 0.89	84 (6.5)	77 (6.3)	7 (8.6)	
0.90 – 1.39	1024 (79.0)	962 (79.2)	62 (76.5)	0.71
≥ 1.40 or not compressible	188 (14.5)	176 (14.5)	12 (14.8)	
Carotid plaque, n (%)				
Absent	765 (57.8)	729 (58.7)	36 (43.9)	
Unilateral	174 (13.1)	167 (13.4)	7 (8.5)	0.001
Bilateral	385 (29.1)	346 (27.9)	39 (47.6)	
Femoral vessels plaque, n (%)				
Absent	729 (56.6)	692 (57.2)	37 (46.8)	
Unilateral	129 (10.0)	123 (10.2)	6 (7.6)	0.059
Bilateral	430 (33.4)	394 (32.6)	36 (45.6)	
Plaque territory, n (%)				
None	588 (44.3)	562 (45.2)	26 (31.7)	
Femoral only	179 (13.5)	169 (13.6)	10 (12.2)	0.06
Carotid only	179 (13.5)	165 (13.3)	14 (17.1)	
Both femoral and carotid	380 (28.7)	348 (28.0)	32 (39.0)	
ABS, n (%)				
0 or 1	735 (55.4)	707 (56.8)	28 (34.1)	< 0.001
2 or more	591 (44.6)	537 (43.2)	54 (65.9)	
Abnormal distal flows, n (%)	106 (8.7)	102 (8.9)	4 (5.3)	0.27
Ischaemia testing				
Ischaemia test prescribed, n	470 (35.3)	422 (33.8)	48 (58.5)	< 0.001
(%)				
Abnormal test result, n (%)	58 (14.8)	41 (11.7)	17 (40.5)	<0.001

³⁹⁷ ABS: atherosclerosis burden score; BMI: body mass index; CVD: cardiovascular

³⁹⁸ diseases; DBP: diastolic blood pressure; e-GFR: estimated glomerular filtration rate;

³⁹⁹ HBA1c: glycated haemoglobin; SBP: systolic blood pressure;

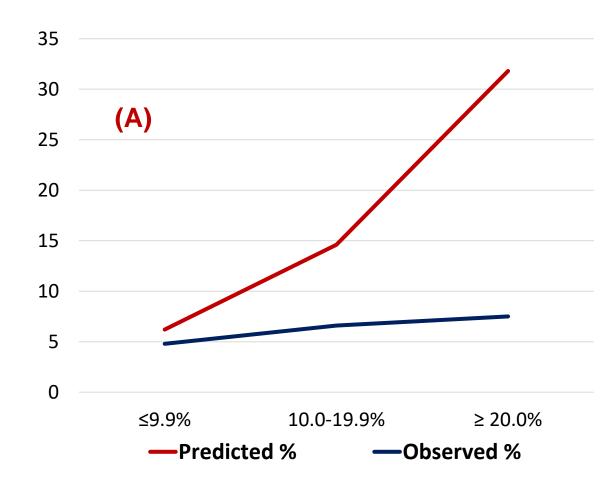
400 **Table 2:** Cox proportional hazard model to predict cardiovascular events.

Variables	N	uHR [95% CI]	mHR [95% CI]	р
				value
Age, per 10 years	1,332	1.20 [0.97 – 1.48]	1.08 [0.86 – 1.36]	0.49
Male sex	1,332	2.04 [1.29 – 3.22]	1.81 [1.13 – 2.88]	0.01
BMI, per kg/m²	1,332	0.97 [0.94 – 1.01]	-	-
Atrial fibrillation	1,332	2.13 [0.78 – 5.82]	-	-
Pulse pressure, per 10 mmHg	1,293	1.15 [0.995 –	-	-
		1.34]		
Diabetes duration, per 10 years	1,254	1.12 [0.87 – 1.43]	-	-
Smoking status ever	1,320	1.67 [1.06 – 2.65]	-	-
ABS ≥2	1,326	2.35 [1.49 – 3.72]	1.98 [1.21 – 3.25]	0.007

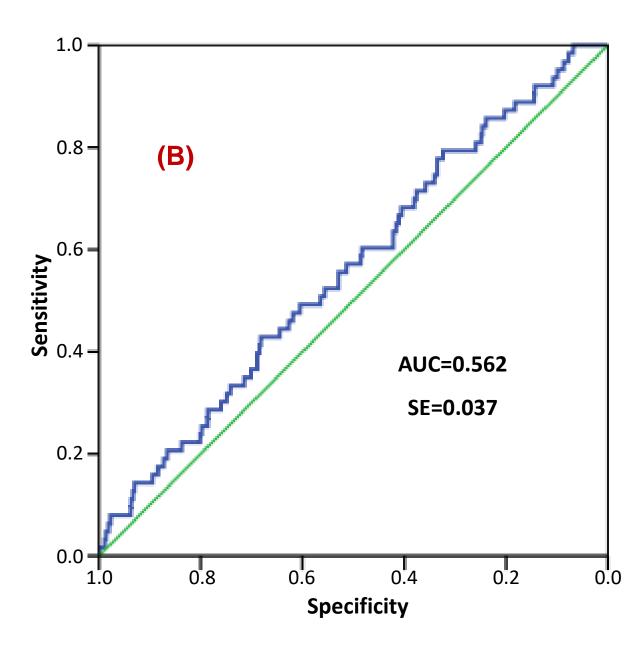
⁴⁰¹ Hazard ratio in univariate (uHR) and multivariate (mHR) models. ABS:

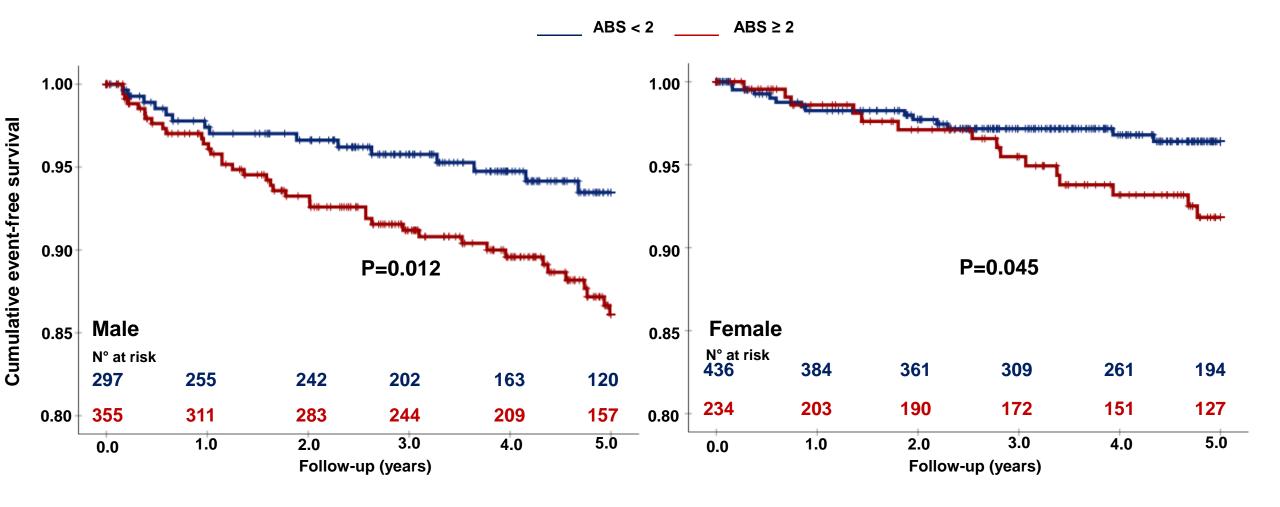
⁴⁰² atherosclerosis burden score: BMI: body mass index

403	FIGURE CAPTIONS
404	Figure 1: Swedish national diabetes risk score characteristics.
405	A) Calibration: predicted and observed cardiovascular events
406	B) Discrimination: prediction of cardiovascular events
407	C) Discrimination: patient's classification performances
408	Figure 2: Outcomes of the patients according to gender and ABS score
109	Figure 3: Improvement of risk prediction with vascular ultrasonography



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Cox proportional hazard model's Khi²

